## Universität

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## Kiranti in Global Perspective

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## Polysynthesis

## Chintang:

|  | 1s | 1di | 1pi | 1de | 1pe | 2s | 2d | 2p | 3 s | 3ns | intransitive |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1s |  |  |  |  |  | tupna2ã <br> tupna2ãnin <br> tupnehẽ <br> matupyoknehẽ | tupna?ãce <br> tupna?ãceniŋ <br> tupnace <br> matupyoknace | tupnałãni tupnałãninì tupnanihẽ matupyoknanihẽ | tubukuø tubukunnin tubuhẽ matupyoktuhẽ | tubukuycuy tubukuycuyniŋ tubuycihẽ matupyoktuycihez | tupmalã tupmalãnin tubehẽ matupyoktehẽ |
| 1di |  |  |  |  |  |  |  |  | tupcoko tupcokonin tubace matupyoktace | tubumcum tubumcumnim tubumcumhẽ matupyoktumcumhẽ | tupceke tupcekenin tubace matupyoktace |
| 1pi |  |  |  |  |  |  |  |  | tubukum tubukumnim tubumhẽ matupyoktumhẽ |  | tubiki tubikinin tubihẽ matupyoktihẽ |
| 1de |  |  |  |  |  | tupnałãncĩyã tupnalãncĩyãntiŋ tupnancĩyehẽ matupyoknancĩyehẽ |  |  | tupcokoja tupcokonanin tubacehẽ matupyoktacehẽ | tubumcumma tubumcummanin tubumcummehê matupyoktumcummehẽ | tupcekena tupcekeyanin tubacehẽ matupyoktacehẽ |
| 1pe |  |  |  |  |  | tubukumma tubukummanin tubummehẽ matupyoktummehẽ | tubikiya tubikiyanin tubiehẽ matupyoktiehẽ |  |
| 2s | atupma1ã atupmalãnin atubehẽ \{a-ma\}tupyoktehẽ |  |  | \{a-ma\}tupceke \{a-ma\}tupcekenin \{a-ma\}tubace \{a-ma-ma\}tupyoktace | \{a-ma\}tupno \{a-ma\}tupniknin \{a-ma\}tube \{a-ma-ma\}tupyokte |  |  |  |  |  |  | atuboko atubokonin atube amatupyokte | atubukuce atubukucenin atubuce \{a-ma\}tupyoktuce | atupno atupniknip atube \{a-ma\}tupyokte |
| 2d | atupmaPancin atupmaPancinnin atubaycihez \{a-ma\}tupyoktaycihẽ |  |  |  |  |  |  |  | atupcoko atupcokonin atubace amatupyoktace | atubumcum atubumcumnim atubumcumhẽ $\{a-m a\} t u p y o k t u m c u m h e ̃$ | atupceke atupcekenin atubace \{a-ma\}tupyoktace |
| 2 p | atupma?anin atupmaPaninin atubannihez \{a-ma\}tupyoktaynihẽ |  |  |  |  |  |  |  | atubukum atubukumnim atubumhẽ amatupyoktumhẽ |  | atubiki <br> atubikinin <br> atubihe <br> \{a-ma\}tupyoktihẽ |
| 3s | utupmarã <br> utupmalãnin <br> utubehe <br> \{u-ma\}tupyoktehẽ | maitupceke maitupcekenin maitubace \{mai-ma\}tupyoktace | maitupno maitupniknin maitube \{mai-ma\}tupyokte | matupceke <br> matupcekenin <br> matubace <br> \{ma-ma\}tupyoktace | matupno matupniknin matube \{ma-ma\}tupyokte | natupno natupniknin natube \{na-ma\}tupyokte | natupceke natupcekenin natubace \{na-ma\}tupyoktace | natubiki natubikinin natubihẽ \{na-ma\}tupyoktihẽ | tuboko tubokonin tube matupyokte | tubukuce tubukucenin tubuce matupyoktuce | tupno tupniknin tube matupyokte |
| 3d | utupmaPancin utupma?ancinnin utubaycihez \{u-ma\}tupyoktaycihẽ |  |  |  |  |  |  |  | utupcoko utupcokonin utubace \{u-ma\}tupyoktace | utubukuce utubukucenin utubuce \{u-ma\}tupyoktuce | utupceke utupcekenin utubace \{u-ma\}tupyoktace |
| 3 p | utupmaPanin utupmaRaninin utubaynihez \{u-ma\}tupyoktaynihẽ |  |  |  |  |  |  |  | utuboko utubokonin utube \{u-ma\}tupyokte |  | utupno <br> utupniknin <br> utube <br> \{u-ma\}tupyokte |

## jo-go-yay na-khutt-i-ca-i-hatt-i-bir-i.

whatever-NMLZ-ADD 3[s]>2-steal-2pO-V2:eat-2pO-V2:move.away.TR-2pO-V2:do.for-[SBJV.]2pO
'It (a cat) may steal everything from you and eat it all up!' [story.cat.204]

## Syntactified Ergativity

- Ergative case unconditionally assigned by all and only transitively inflected verbs, except for some pronouns (e.g. 1sS in Belhare, 1excl in Chintang) Belhare (Bickel 2003)
a. ina-ŋa wa khuir-t-u.

DEM-ERG chicken[-NOM] [3sA-]steal-NPST-3sO
'That one steals / will steal the chicken.'
b. ina wa khu?-yu.

DEM[-NOM] chicken[-NOM] [3sS-]steal-NPST
'That one steals chicken.' ('S/he is a chicken-stealer')

- Occasional with reflexes even in syntax:

Belhare (Bickel 2004)
a. khoy-ma nui-ka.
play-INF may-2s[NPST]
'You may play.'
b. lu-ma nui-ka.
tell-INF may-2s[NPST]
'Someone may tell you.' (not: 'You may tell him/her.')

## Possessive classes

Limbu

|  | Class I | Class II | Class III |
| :--- | :--- | :--- | :--- |
| Effect | Nasalization | Stem reduction |  |
| 1sg form | a-mbhoya? <br> 'my uncle' | a-nsa? (< nusa?) <br> 'my sibling' | a-yuma <br> 'my grandmother' |
| sample <br> members | friend, father, <br> mother, aunt etc. | head, older sister, <br> moustache, sibling, etc. | (default) |

WHY?

## Inflectional Synthesis



Polyagreement (sensu stricto: no clitics, no optional agreement etc.)


## Ergativity: proportion of $S=A$ case per conditions



| 1 |  |  |  | 0.8 | 1.0 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 0.0 | 0.2 | 0.4 | 0.6 | $\mathrm{~S}=\mathrm{A}$ |  |
| $\mathrm{S} \neq \mathrm{A}$ |  |  |  |  |  |

## Possessive classes



## The Eurasian Enclave Theory: Historical Scenario

## < ca. 15kya


(Rasmussen et al. 2011 Science)


Australasian "Ypykuéra" Population, no longer present here (Skoglund et al. 2015 Nature)

## The Eurasian Enclave Theory: Historical Scenario

$>$ ca. 15kya

(Rootsi et al. 2007 Eur. J. Hum. Gen)

Large-scale language spreads


Empires and urbanized states in - the steppe (e.g. Nichols 1998*)

- the Tibetan plateau and the SA/SEA "valleys" (e.g. DeLancey 2013+)


## The Eurasian Enclave Theory: Prediction I

Modern Eurasia: several recent spreads, high contact Trans-Pacific: old and heterogenous


# Evidence from clustering approaches: combined AUTOTYP and WALS data 

## Principal Component Analysis (PCA) on feature prop per major clade



- PCA with imputation (pcaMethods, Stacklies et al. 2007 in Bioinformatics)
- map the first 3 PCs (accounting for $62 \%$ of the total variance) to RGB color space


## Principal Component Analysis (PCA) on feature prop per major clade



Top contributors to each PC:


## Density-based spatial clustering (dbSCAN)

- Link languages with the same feature values if they form a cluster with at least 3 members within a pre-given distance threshold
- Aggregate across all features and 7 distance thresholds (100km...10'000km)

$\rightarrow$ Line densities

Step 2: aggregating links from all distance thresolds [1...n]

in tesselations of 300 km and compare results with $\mathrm{H}_{0}$

## Density-based spatial clustering (dbSCAN)



Evidence from hypothesis testing: combined AUTOTYP and WALS data

## Testing the theory

1. Estimate diachronic biases per family/major clade, using sevaral methods (Bickel 2013 Lang Typ and Hist Contingency)
2. Perform Fisher Exact tests on the difference in bias directions between areas, across all 356 variables in WALS and AUTOTYP covering at least 250 languages each
3. Estimate False Discovery Rates*
4. Subtract variants of variables, e.g re voicing distinctions in WALS:

- MADVOI: \{none, in_plos_\&_fric, in_plos_only, in_fric_only\}
- MADVOI2: \{none, some\}
$\rightarrow$ at least $\sim 35$ true discoveries of Trans-Pacific $\neq$ Rest of the World (Bickel 2015 Language Dispersals)
$\rightarrow$ at least $\sim 10$ true discoveries of Enclaves $\neq$ Rest of Eurasia (provisional, non-validated result)


## A closer look at the results: Residual Analysis



## Trans-Pacific features present in at least some Kiranti languages

- tone
- voicing distinction
+ polyagreement (under various analyses)
+ possessive prefixes
+ headmarked possession
+ desideratives (if we count optatives as desideratives)
- postposed modifier NPs
+ preposed demonstratives
- non-final word order
- adpositions (although some languages, e.g. Yakkha, have recently developed true adpositions)
+ non-accusative alignment in agreement triggers
+ SO alignment in 'give' verbs


## Trans-Pacific features not found in Kiranti languages

- laterals
- velar nasals
+ optional or no nominal plural


## Other Eurasian enclave features found in at least some Kiranti

+ high verb inflectional synthesis
+ retention of dep-marking in nominalizations
+ semantic gender
+ preposed relative clauses
+ mixed predicative adj encoding
+ double negation
+ contrastive nasal vowels
+ category-based stem allomorphy


## Candidate enclave features for which we lack sufficiently large databases

- aspirated stops alternating with breathy stops
- bipartite stems
- recursive inflection
- triplication (independent of doubled reduplication)
- co-argument sensitivity (in prep)
- conjunct/disjunct
- antipassives for 1 P
- altitudinal case
- spatially specific interjections
- color-sensitive article


## Eurasian spread features which at least some Kiranti languages escape

| + voicing distinction | - possessive prefixes |
| :--- | :--- |
| + tone | + preposed case markers |
| + large vowel systems | + postposed demonstratives |
| - lex conjugation classes | + postposed modifier NPs |
| - mixed A, P agr slots | + non-final order |
| - polyagreement | - WH oblig. initial |
| - head-marked A | + preposed adpositions |
| - head-marked P | + sem and formal gender |
| - head-marked S | + obligatory noun plural |
| - headmarked POSS | - evidentials (incl. hearsay) |
| - desideratives | + adpositions |
| + Generic-noun-based indef |  |
| - possessive classes |  |

+ accusatives in pronouns
$-S \neq A$ case (at least some)
$-S \neq A$ agreement triggers
+ DOM
+ agreement split on PoS
- SO alignment
- normal dep-marking in NMLZ


## Eurasian spread features which Kiranti languages do not escape

+ laterals
+ velar nasals
- noun incorporation
+ dependent-marked S, A, or P
+ dependent-marked possession
+ passives (although rarely used in Kiranti languages)
- optional or no nominal plural
+ plural on animate nouns only
- same word for `hand' and `finger' (?)


## The Eurasian Enclave Theory: Prediction II

## Enclaves should specifically preserve ...

- local features: features that are easy to transmit over generations (easy to acquire in L1) but unlikely to spread in contact (difficult to acquire in L2) (e.g. Dahl 2004*, Trudgill 2011\#, DeLancey 2013+)
- difficult features: features that are disfavored by processing principles: for processing principles to lead to change, one needs increased variance for selection to operate, and this in turn requires increased contact
$\rightarrow$ two psycholinguistic case studies

A case study on a local feature:
polysynthesis

## What is (poly)synthesis?

A multivariate typology (Bickel \& Nichols 2007*, Bickel \& Zúñiga 2015+)

## 1. Available building blocks

- elements that $\pm$ select, i.e. need a superordinate host
- elements that $\pm$ control, i.e. require or govern subordinate elements

| SELECT | CONTROL | label | content |
| :---: | :---: | :--- | :--- |
| - | + | V | lexical |
| - | - | clitics | IS markers |
| V | - | inflections | agr., TAMP, nonfinite forms |
| V | + | V2 | derivations, lexical |
| VP | - | phrasal affixes | optative, some clause linkage markers |
| XP | - | free phrasal affixes | nominalizers, conjunctions, IS markers, etc. |
| X | - | reduplication | intensifying functions |

2. Phonological cohesion: rule and constraint domains in phonology
3. Syntactic cohesion: rule and constraint domains in syntax

## Chintang synthesis



## voicing after V/N

onset requirement clitic and prefix hosting


## Phonological cohesion does not seem to dependent much on contact

- No effect of areas on phonological domain size trends but significant effects of family membership and rule type (Bickel, Hildebrandt \& Schiering 2009 in Phonological Domains)



## Syntactic selectivity matters most for L1 vs. L2 acquisition contrast

- with selective morphemes, roots come in more diverse environments $\rightarrow$ bigger learning challenge (Stoll 2009+; Stoll, Mažara \& Bickel 2015*)
- well-established effects on L2 acquisition (e.g. Dahl 2004\#, Trudgill 2011\% etc; Bentz et al. 2015 PLOS ONE)



## Syntactic selectivity matters most for L1 vs. L2 acquisition contrast

- but amazing acquisition performance in L1



## Syntactic selectivity matters most for L1 vs. L2 acquisition contrast

- affix morphology acquired even faster than adult degrees of code-mixing!



## Result: synthesis degree has the typical signature of a local feature



|  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 0 | 5 | 10 | 15 | 20 |

A case study on a difficult feature: strong ergativity

## Processing disfavors ergativity in case marking

- The processing system prefers unmarked initial NPs to be A or S, not P (Bickel et al. 2015 PLOS ONE)



## Processing disfavors ergativity in case marking

- BUT: no change without variation, and contact is a key source of variation
$\rightarrow$ expect a (weak) correlation strength of ergativity in case-marking and degree of sociolinguistic isolation


Proportion of $S=A$ case assignment across conditions per language

## (CE) Kiranti case ergativity is particularly strong

1. Widespread in the system: only very few pronouns lack ergative case forms, for example

- Belhare: only first person singular
- Chintang: only exclusive forms (resulting from haplology)
- Yakkha: first and second person pronouns
- Puma, Yamphu: no constraint

Note: absence of case is driven by form, not meaning (Bickel 2000 SL):
Yakkha (Schackow 2014 UZH Diss):
a-phay=na men=na, a-koyma=ŋa=le
1sG.poss-MyZH=erg neg.cop[3]=nmlz.sG 1sG.poss-MyZ=erg=ctr
ta-ga=na raecha
bring[pst;3.P]-2.A=NMLz.SG mir
'Not the uncle, but you, auntie, really brought her here (the second
wife)!'
[06_cvs_01.042]

## (CE) Kiranti case ergativity is particularly strong

- ERG has even recently expanded (Bickel \& Gaenszle 2015 in JSALL)

Belhare (Bickel 1996)
$\begin{array}{lll}\text { a. un-na } & \text { mari } & \text { niu-t-u. } \\ \text { 3s-ERG } & \text { person[sNOM] } & \text { [3sA-]see-NPST-3O }\end{array}$
'S/he sees a (specific) person.' or 'S/he sees the person.'
b. un mapi ni-yu.
(antipassive)
3sNOM person[sNOM] [3sS-]see-NPST
'S/he sees people.' but not *‘S/he sees the/a (specific) person.'
c. un-na mapi-ni-yu.

3s-ERG eP-see-NPST
'S/he sees us (e).'


## (CE) Kiranti case ergativity is particularly strong

2. ERG iff transitive morphosyntax; no free semantic parameter or any kind of differential/split/fluid subject marking

- Occasionally very limited, idiosyncratic variation, e.g.
in Chintang ergatives are frequent on 1 pi and 2 p vs. rare on $1 \mathrm{~s}, 1 \mathrm{di}$, 2 s and 2d (ungrammatical on 1de and 1pe) - depending on many factors including language ideology (Schikowski, Paudyal \& Bickel 2015 in Valency Classes)
- All morphosyntactic transitivity alternations either
- have no impact on ERG assignment or
- are driven by something else than agency or agent reference


## Morphosyntactic transitivity in CE Kiranti (exemplified by Chintang)



## Morphosyntactic transitivity in CE Kiranti (exemplified by Chintang)



Critical factor: Proto-Agent explication; focus on cause vs result
a. Sa-ŋa u-lett-o-kha phuŋ?
who-ERG 3[p]A-plant-3[s]P-NMLZ ${ }_{2}$ flower
'Who planted the flower?' [CLLDCh3R07S01.953]
b. Makkai-ce u-lett-a-ŋs-e.
maize-ns 3[p]S-plant-PST-PRF-IND.PST
'The maize plants have been planted.' [field notes 2010]
a. Saĩli, kana-phak na ba-tta=kha ghoy hay
third.daughter 1pePOSS-pig TOP PROX-EXT-NMLZ ${ }_{2}$ grow.big[.SBJV.NPST.3sS] COND
na aŋ...
TOP QTAG
'Saĩli, suppose our pig grew as big as this...' [CLLDCh1R06S03.0151]
b. Ba=go phak them-ma ba-tta ghoys-o- $\eta s-e$ ?

PROX-NMLZ $_{1}$ pig what-ERG PROX-EXT grow.big-3[s]P-PRF-IND.NPST[.3sA]
'What has let this pig grow this big?' [elicitation 2010]

## Morphosyntactic transitivity in CE Kiranti (exemplified by Chintang)

## S-NOM S.AGR

## A-NOM O-NOM S.AGR <br> A-ERG O-NOM A\&O.AGR

## Critical factor: quantifiability; specificity of Proto-Patient

a. huĩsa-ŋa ma?mi copt-o-k-o.

DEM-ERG person[-NOM] [3sA-]look-3sO-NPST-3sO (TRANS)
'S/he looks at the people.'
b. hungo ma?mi cop-no.

DEM[-NOM] person[-NOM] [3sS-]look-NPST (DETRANS)
'HS/he looks at people.' (in general)
c. hungo sumbhay kaphapa kon-no-ta.

DEM[-NOM] three helpers[-NOM] [3sS-]search-NPST-CNT (DETRANS)
'I'm searching for three helpers.'

-spec +spec
non-quantifiable


## Morphosyntactic transitivity in CE Kiranti (exemplified by Chintang)

## S-NOM S.AGR <br> A-NOM O-NOM S.AGR

A-ERG O-NOM A\&O.AGR Proto-Patient

Critical factor: P explication in possessive of experience constructions
a. hana-ko i-rek kat-no?

2s-GEN 2sPOSS-anger[-NOM] [3sS-]go-IND.NPST
'Are you angry?'
b. hana-ŋa hun-ce i-rek a-katt-u-c-e?

2s-ERG DEM-ns[-NOM] 2sPOSS-anger[-NOM] 2[s]A-bring.up-3O-3nsO-IND.PST
'Are you angry with them?'

## Conclusions

Kiranti languages are special because they are located in the Eurasian Enclaves (together with other languages in the Himalayas, the Caucasus, the north Pacific coast, and the Andamans), where they were shielded off from the major spreads that started ca. 15kya
$\rightarrow$ They are key languages for reconstruction within ST/TB/TH (cf. DeLancey's talk)
$\rightarrow$ They allow a glimpse into how Eurasia looked like before 15kya: quite similar to what we nowadays find in the Americas, in PNG and in Australia

